



GeniusMethods

Assessment Screen Shots and Examples of Report

Contact:

sconstancon@geniusmethods.com

Tel: 01494 565 947

www.geniusmethods.com



Board Evaluation – User Interface

GeniusMethods

1. What proportion of the Board has served for more than 6 years?

- A. 0% to 25%
- B. 26% to 51%
- C. 51% to 75%
- D. 76% to 100%
- E. None
- F. Not Applicable(Co less than 6 years old)



Add/View Evidence (0) Evidence(s) added

2. Is the Chairman's position separate from that of the Chief Executive?

- A. Yes
- B. No



Add/View Evidence (0) Evidence(s) added

3. Do all the Directors have a document which describes their duties as Directors and another for their line function if any?

- A. Yes - formal document
- B. No - but there is an informal self created document
- C. No - formal document

Please upload a copy of any document you have in this connection



Add/View Evidence (0) Evidence(s) added

4. How many Executive Directors and how many NEDs?

- A. 50% non exec
- B. 75% non exec
- C. 25% non exec
- D. No independent non exec

By definition - any NED who has served for more than 6 years is no longer independent



Add/View Evidence (0) Evidence(s) added



Structure of Questionnaire

No.	Sub-heading
1.	<u>The Board</u>
2.	<u>Vision, Mission & Values</u>
3.	<u>Strategy</u>
4.	<u>Leadership & Behaviour</u>
5.	<u>Risk Assessment</u>
6.	<u>Board Competence</u>
7.	<u>Delegation & Review</u>
8.	<u>Communication</u>
9.	<u>Industry Specific Assessment</u>
10.	<u>Stakeholders</u>
11.	<u>Sustainability</u>
12.	<u>Other Matters</u>
13.	<u>Additional Comments</u>

Product Name	Status	No. of Sub-headings	No. of Questions
<u>Self Assessment for Handling of Family Run Businesses</u>	Closed	1	12
<u>Self Assessment for Succession Management & Planning</u>	Closed	1	8
<u>Self Assessment for the Board of Directors – July 09</u>	Closed	6	57
<u>Self Assessment for the Board of Directors – Oct 09</u>	Closed	6	59
<u>Self Assessment for the Board of Directors - April 10</u>	Closed	6	59
<u>Self Assessment for the Board of Directors - May 10</u>	Closed	13	59
<u>Self Assessment for the Board of Directors – Oct 09 - Sample Version</u>	Closed	6	15

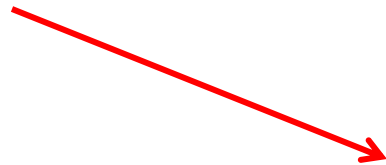
No.	Question	Importance	No. of Answers
1	<u>What proportion of the Board has served for more than 6 years?</u>	1	6
2	<u>Is the Chairman's position separate from that of the Chief Executive?</u>	1	2
3	<u>Do all the Directors have a document which describes their duties as Directors and another for their line function if any?</u>	1	3
4	<u>How many Executive Directors and how many NEDs?</u>	1	4
5	<u>Of the independent non-executives, what proportion are expert in the companies business?</u>	1	4
6	<u>Of the independent non executives, what proportion are experienced NED's and expert in other areas of business or social areas relevant to the company?</u>	1	4
7	<u>Is the balance right of executive and non-executive and industry expertise?</u>	1	7



Questionnaire - Answers & Thresholds

GeniusMethods

No.	Answer	Weight	Numeric
A	Yes - applicable to our organisation	1	8
B	Nearly right & addressing the issue	1	6
C	Executive and non-executive mix is right but i	1	5
D	Industry is right, but executive and non-execu	1	5
E	Not right and addressing the issue	1	4
F	Not right but not on our agenda to fix	1	1
G	No idea if right or not	1	1



Threshold


Minimum Value:


Threshold 1


Threshold 2


Threshold 3

Maximum Value:

 Unacceptable

 Requires attention

 Above Average

 Acceptable



Questionnaire - First level report

GeniusMethods

Product Name : Due Diligence - Source of Wealth

Version Name : New Client A

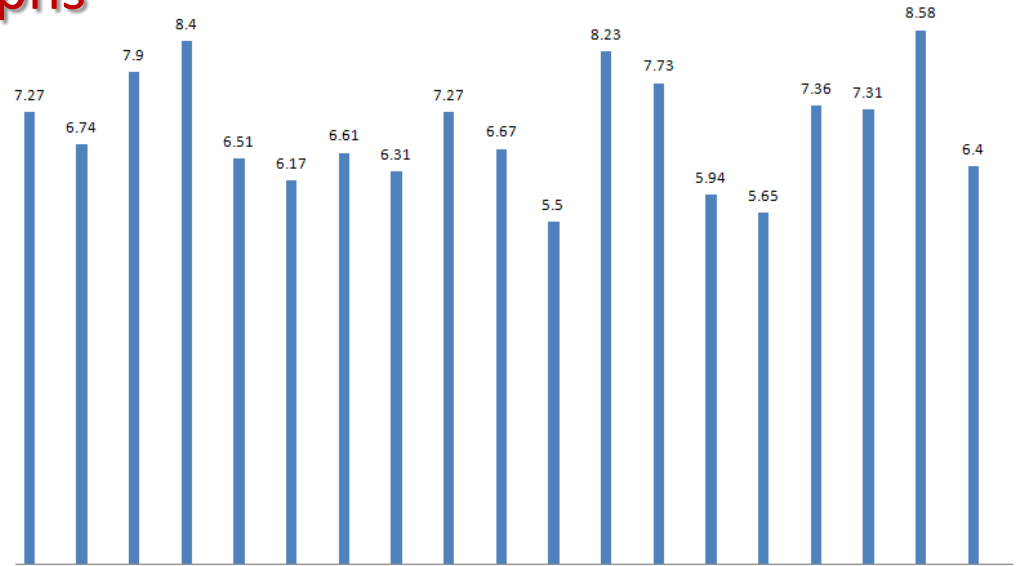
SELECT colour FROM product_thresholdlegends WHERE productID='41' AND ID='304'

Sub-section Title	Result		Total Number of Questions	Number of Questions Answered	Percentage Complete	Number of Non-Zero Answers	Number of Zero Answers
	Value	Category ?					
1. <u>Income from Employment</u>	8.29		7	7	100.00%	7	0
2. <u>Lump sum on Retirement</u>	10.00		4	4	100.00%	4	0
3. <u>Maturing investments or policies</u>	4.75		5	5	100.00%	4	1
4. <u>Sale of Investments</u>	5.20		6	6	100.00%	5	1
5. <u>Sale of Property</u>	8.50		6	6	100.00%	6	0
6. <u>Gift</u>	10.00		8	1	12.50%	1	0
7. <u>Lottery / Betting / Casino Win</u>	1.00		5	1	20.00%	1	0
Totals	7.32		41	30	73.17%	28	2

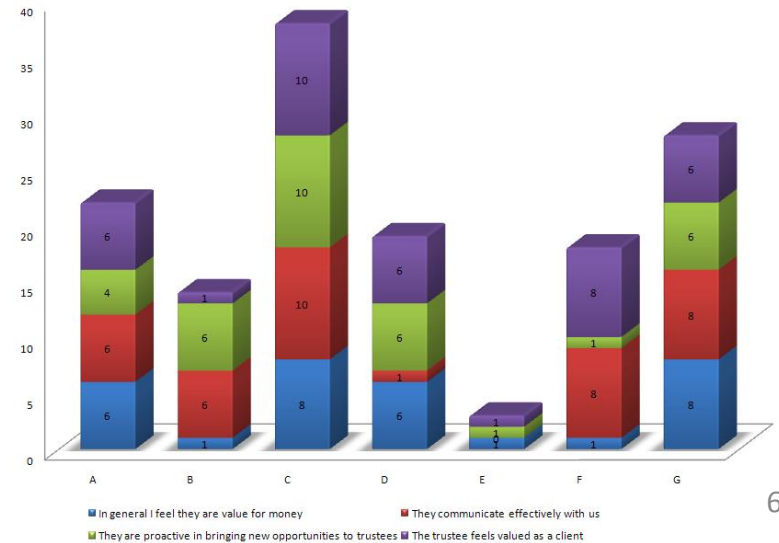
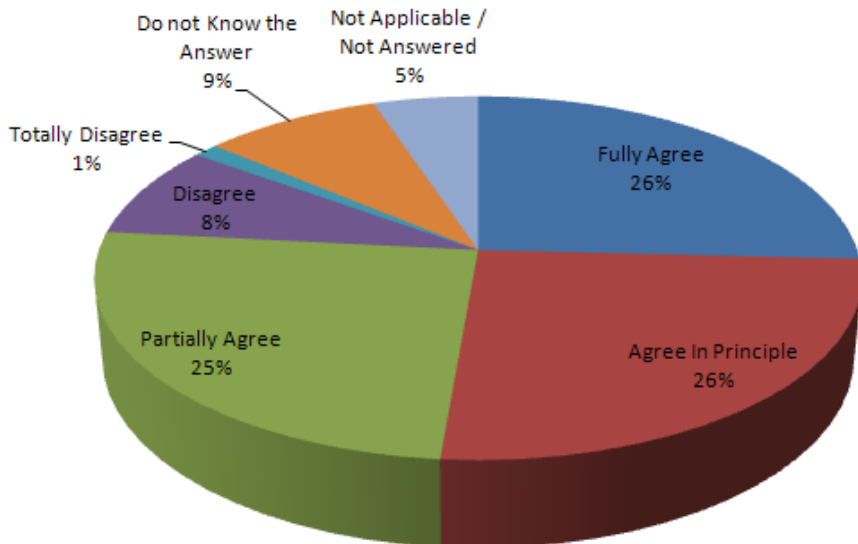
Documents unacceptable	
Documents are not yet in order	
Documents require compliance review	
Documents in perfect order	5

Questionnaire - Examples of graphs

Exec and Vol Results per Member out of 10



Average of 19 Total Responses





Questionnaire - Examples of reports

Question	"..." - 2010				Summary Notes
	Lowest	Highest	Average	Closest Answer	
19 Members					
13. The nominating committee is effective	1.00	10.00	5.24	Partially Agree	
14. Relationships with the nominating committee are effective	1.00	10.00	4.38	Disagree	
15. The board is well informed on audit committee issues	1.00	10.00	7.00	Partially Agree	
16. Audit committee reports receive appropriate discussion at board	1.00	10.00	7.19	Agree in Principle	
17. There is a tailored induction programme for new directors	1.00	10.00	3.94	Disagree	GR is the only Totally Agree, 2 Execs do not know and rest of Voluntary do not know or disagree
Board administration	2.44	10	7.22		
1. The agendas over a year cover the right items	1.00	10.00	8.39	Agree in Principle	There are a lot of Totally Agrees but MH is Fully Agree on all points
2. The allocation of time to agenda items reflects the importance	4.00	10.00	7.89	Agree in Principle	
3. We have the right number of board meetings	4.00	10.00	8.00	Agree in Principle	

Question	By Exec Vol	Comment made
Board		
1. The board provides strategic leadership to the Institute		
2. The executive derive value from the board		
3. Executives seek the input of the volunteer directors (NED's)		
4. The board members provide plenty challenge		
5. The board is able to influence key issues		
6. The composition of the board provides the right balance of skills		e unknown is how much more effective we could be with a more diverse membership of the board. At present all but two are chartered accountants and only one is not an accountant at all (and he has worked in our sector for many years). No matter how much we protest that we have a wide range of experiences to draw upon, at the end of the day
		Its a bit homespun Not much external thought outside of ourselves
		Despite the international strategy the Board is extremely UK-centric
		I believe that we should seek Board members from a larger group than just "....." At the moment the Board essentially chooses itself, apart from the two directly elected members.

Question	Primary Group				Comparison Group				Primary less Comparison
	Lowest	Highest	Average	Closest	Lowest	Highest	Average	Closest	
	"X" Execs				"Y" NED's				
Board & Council	5.4	8.8	6.73		2.9	9			0.04
1. The role of council is defined as - broad strategic & policy oversight	6.00	10.00	8.25	Agree in Principle	8.00	10.00	8.73	Agree in Principle	-0.48
2. The council represents the membership's views	6.00	8.00	6.50	Partially Agree	1.00	8.00	5.73	Partially Agree	0.77
3. Council informs board of the wider views of the membership	4.00	8.00	6.25	Partially Agree	2.00	8.00	5.82	Partially Agree	0.43
4. Council agendas are appropriate to their central role	6.00	10.00	7.00	Partially Agree	1.00	10.00	6.64	Partially Agree	0.36
5. Council debate is high-level	4.00	8.00	5.75	Partially Agree	1.00	6.00	4.64	Disagree	1.11
6. Board develops & oversees strategy, policy and direction	6.00	10.00	8.25	Agree in Principle	6.00	10.00	9.45	Fully Agree	-1.2
7. The interface between council, board & executive is positive	6.00	8.00	6.25	Partially Agree	6.00	10.00	7.64	Agree in Principle	-1.39